

## § 15.01

from exercising the authority delegated in this paragraph.

(Approved by the Office of Management and Budget under control numbers 3038-0007 and 3038-0009)

[41 FR 3206, Jan. 21, 1976, as amended at 44 FR 33846, June 13, 1979; 45 FR 30429, May 8, 1980; 45 FR 31713, May 14, 1980; 46 FR 54525, Nov. 3, 1981; 46 FR 59964, Dec. 8, 1981; 46 FR 63036, Dec. 30, 1981; 47 FR 57012, Dec. 22, 1982; 48 FR 35299, Aug. 3, 1983; 49 FR 46117, Nov. 23, 1984; 51 FR 4717, Feb. 7, 1986; 52 FR 38922, Oct. 20, 1987; 56 FR 43697, Sept. 4, 1991; 62 FR 6113, Feb. 11, 1997; 62 FR 24031, May 2, 1997; 62 FR 27659, May 20, 1997]

### § 15.01 Persons required to report.

Pursuant to the provisions of the Act, the following persons shall file reports with the Commission with respect to such commodities, on such forms, at such time, and in accordance with such directions as are hereinafter set forth:

(a) Contract markets—as specified in parts 16 and 21 of this chapter.

(b) Futures commission merchants, members of contract markets and foreign brokers—as specified in parts 17, 20 and 21 of this chapter.

(c) Traders who hold or control reportable positions as specified in part 18 of this chapter.

(d) Persons, as specified in part 19 of this chapter, either:

(1) Who hold or control futures and option positions that exceed the amounts set forth in §150.2 of this chapter for the commodities enumerated in that section, any part of which constitutes bona fide hedging positions (as defined in §1.3(z) of this chapter); or

(2) Who are merchants or dealers of cotton holding or controlling positions for future delivery in cotton that equal or exceed the amount set forth in §15.03.

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### § 15.02 Reporting forms.

Forms on which to report may be obtained from any office of the Commis-

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sion. Forms to be used for the filing of reports are listed below, and persons required to file these forms may be determined by referring to the rule listed in the column opposite the form number.

| Form No. | Title   | Rule  |
|----------|---|-------|
| 40       | Statement of Reporting Trader .....   | 18.04 |
| 101      | Positions of Special Accounts on or Subject to the Rules of Specified Markets ..... | 17.00 |
| 102      | Identification of Special Accounts .....  | 17.01 |
| 103      | Large Trader Report .....   | 18.00 |
| 204      | Cash Positions of Grain Traders (including Oilseeds and Products) .....             | 19.00 |
| 304      | Cash Positions of Cotton Traders .....  | 19.00 |

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[52 FR 38922, Oct. 20, 1987]

### § 15.03 Reporting levels.

(a) *Definitions.* For purposes of this section, the term major foreign currency means the currencies and cross-rates between the currencies of Japan, Germany, the U.K., France, Italy, Canada, Australia, Switzerland, Sweden, Belgium, the Netherlands and the Euro.

(b) The quantities for the purpose of reports filed under parts 17 and 18 of this chapter are as follows:

| Commodity                                   | Number of contracts |
|---|---------------------|
| <b>Agricultural:</b>                        |                     |
| Wheat .....                                 | 100                 |
| Corn .....                                  | 150                 |
| Oats .....                                  | 60                  |
| Soybeans .....                              | 100                 |
| Soybean Oil .....                           | 200                 |
| Soybean Meal .....                          | 200                 |
| Cotton .....                                | 50                  |
| Frozen Concentrated Orange Juice .....      | 50                  |
| Rough Rice .....                            | 50                  |
| Live Cattle .....                           | 100                 |
| Feeder Cattle .....                         | 50                  |
| Lean Hogs .....                             | 100                 |
| Sugar No. 11 .....                          | 400                 |
| Sugar No. 14 .....                          | 100                 |
| Cocoa .....                                 | 100                 |
| Coffee .....                                | 50                  |
| <b>Natural Resources:</b>                   |                     |
| Copper .....                                | 100                 |
| Gold .....                                  | 200                 |
| Silver Bullion .....                        | 150                 |
| Platinum .....                              | 50                  |
| No. 2 Heating Oil .....                     | 250                 |
| Crude Oil, Sweet .....                      | 350                 |
| Unleaded Gasoline .....                     | 150                 |
| Natural Gas .....                           | 175                 |
| <b>Financial:</b>                           |                     |
| Municipal Bond Index .....                  | 300                 |
| 3-month (13-Week) U.S. Treasury Bills ..... | 150                 |